

Applications of machine learning in material research: an overview

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Outlines



1 Introduction to AI & machine learning

2 Some typical applications of machine learning

3 Challenges & solutions

4 Conclusions

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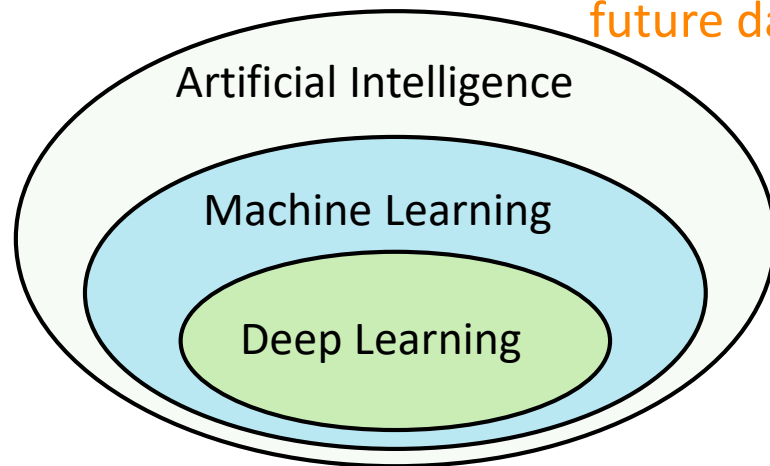
AI, ML, DL



Artificial intelligence (**AI**): techniques that enable computers **mimic human intelligence**

Human intelligence: Imagination, creativity, fantasy, intuition, problem solving

Machine learning (**ML**): historical data (input **X**, output **Y**) is used to derive functional relationship $Y=f(X)$ and apply it for future data predictions. **Data fitting**



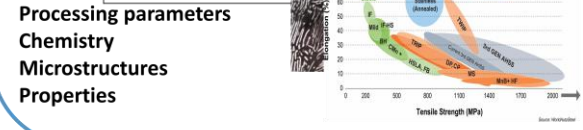
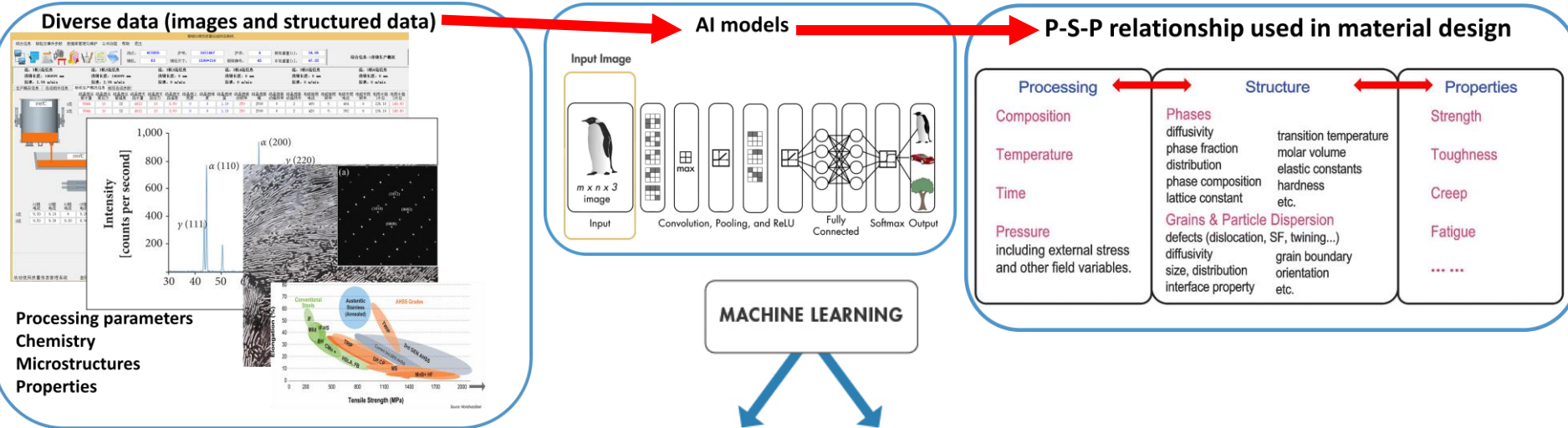
Input **X**: **1D structured data** (e.g. excel data sheet)
2D image
time serial sequence

Output **Y**: **categorical labels** (classification)
continuous **values** (regression)

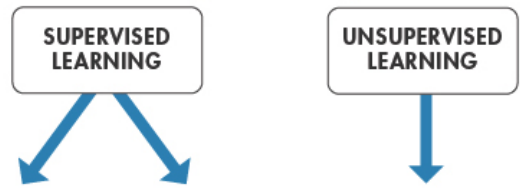
Conventional machine learning: **manual feature engineering** before training

Deep learning (**DL**): **learn directly from raw data** without manual feature engineering

MSE data — AI models — P-S-P relationship



MACHINE LEARNING



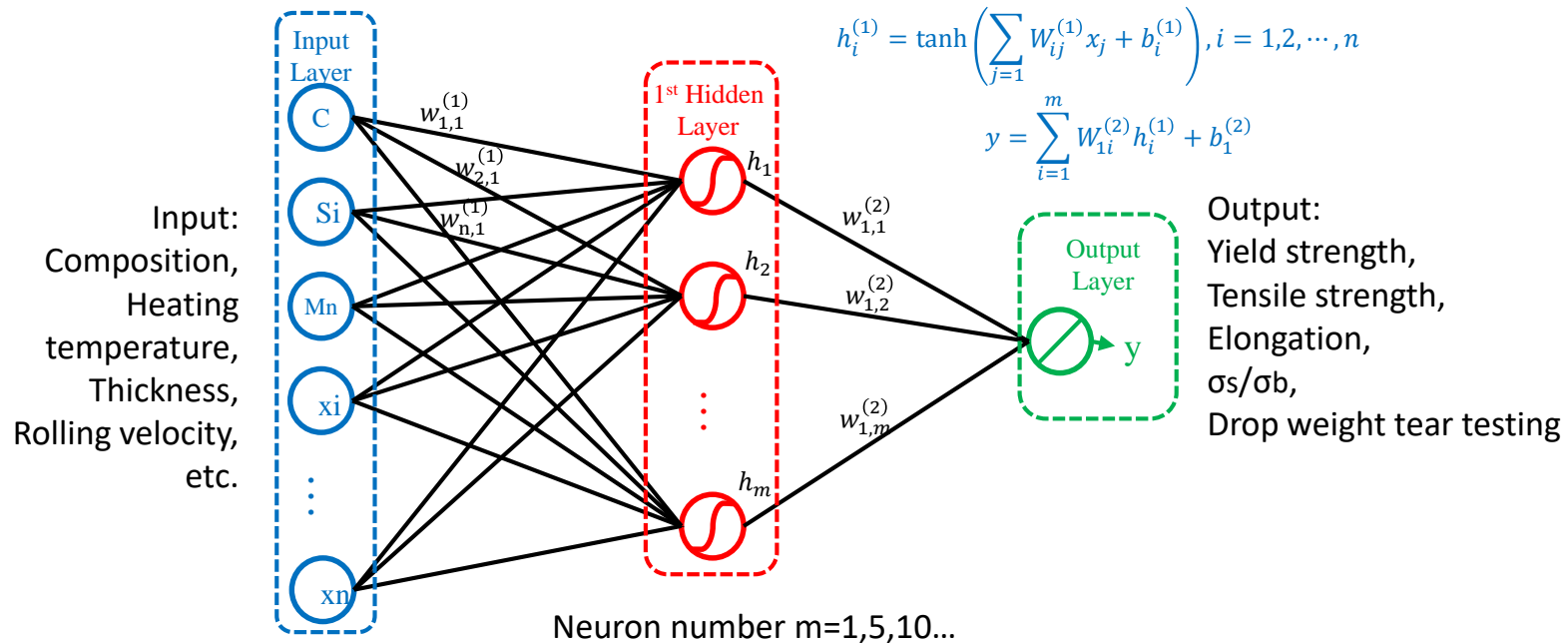
CLASSIFICATION	REGRESSION	CLUSTERING
Support Vector Machines	Linear Regression, GLM	K-Means, K-Medoids, Fuzzy C-Means
Discriminant Analysis	SVR, GPR	Hierarchical
Naive Bayes	Ensemble Methods	Gaussian Mixture
Nearest Neighbor	Decision Trees	Hidden Markov Model
Neural Networks	Neural Networks	Neural Networks

AI is a universal tool

Predicting properties of steel plate



10000+ Real-factory data (excel data sheet)
1 hidden layer shallow neural network



High training & testing accuracy

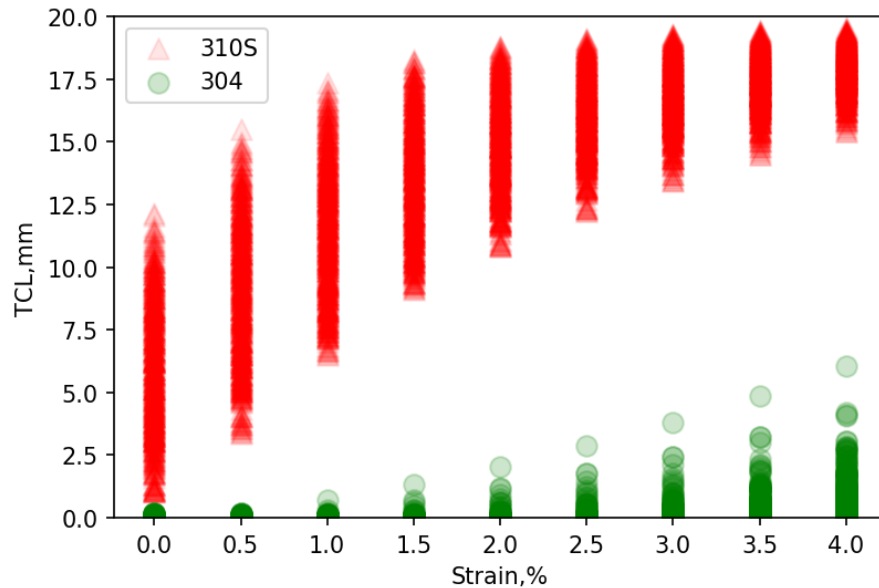
0.99 (Pearson coefficient of prediction and real data)



Predicting solidification cracking susceptibility of stainless steel

	code	C	Si	Mn	P	S	Cr	Ni	Mo	N	...	V	B	Th	I	U	Ve	Strain	TCL	MCL	note
0	316NG-A	0.0100	0.48	1.61	0.024	0.019	17.33	10.62	2.09	0.0600	...	0.0	0.0	3.18	100	12.0	4.23	4.0	1.50	0.19	ref03
1	316NG-B	0.0110	0.58	1.06	0.032	0.013	16.95	10.50	2.15	0.0780	...	0.0	0.0	3.18	100	12.0	4.23	4.0	1.10	0.18	ref03
2	316NG-C	0.0100	0.46	1.09	0.021	0.001	17.40	11.50	2.88	0.1050	...	0.0	0.0	3.18	100	12.0	4.23	4.0	0.90	0.15	ref03
...
484	K17	0.0140	0.33	1.73	0.026	0.007	17.90	9.50	0.00	0.0460	...	0.0	0.0	5.00	70	16.0	1.25	1.2	0.24	Nan	ref17fig14
485	SUS304	0.0500	0.75	0.94	0.026	0.007	18.30	9.40	0.00	0.0160	...	0.0	0.0	5.00	70	16.0	1.25	1.2	0.00	Nan	ref17fig14
486	SUS316	0.0700	0.66	1.01	0.020	0.006	16.70	12.40	2.38	0.0200	...	0.0	0.0	5.00	70	16.0	1.25	1.2	1.47	Nan	ref17fig14

487 rows × 25 columns



Dataset size: 487*22 matrix
 Vareststraint SCS test: include composition factors, processing parameters, and strain
 Total crack length (TCL): indicator for SCS
 21 input: composition and test parameters
 1 output: the indicator for solidification cracking susceptibility TCL (total crack length)

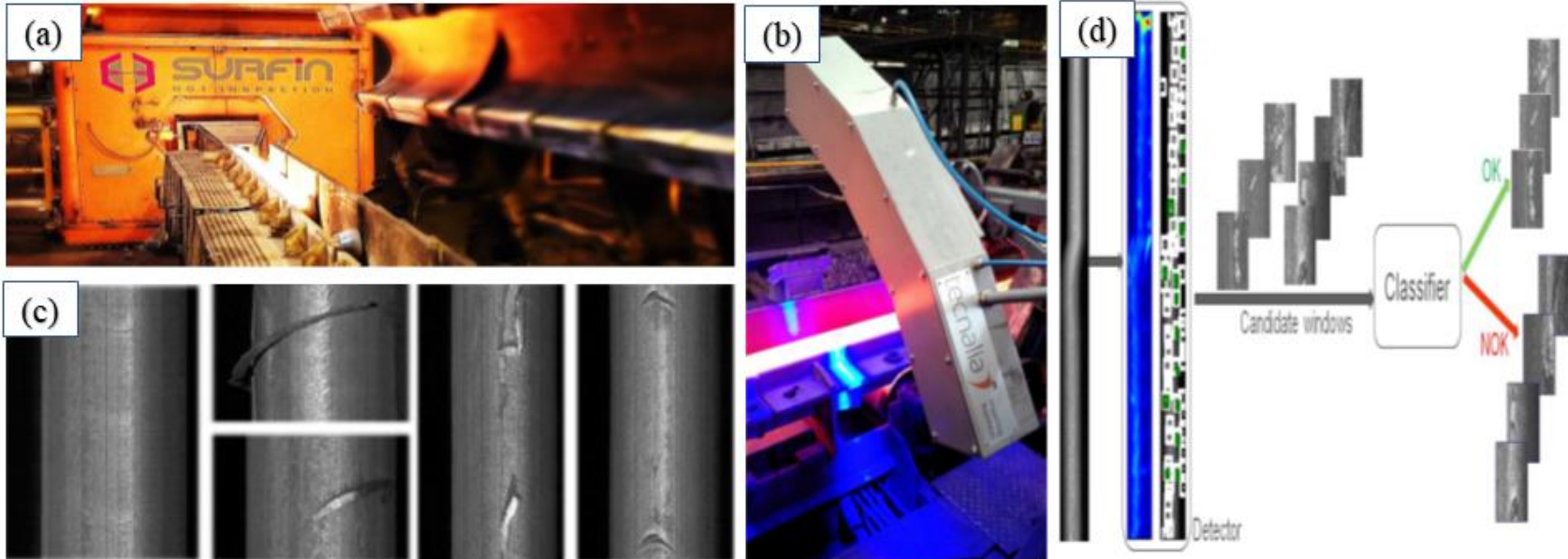
Code	C	Si	Mn	P	S	Cr	Ni	N	Al	Th	I	U	Ve
304	0.06	0.5	1.5	0.005-0.03	0.005-0.03	18-20	8-10.5	0.02	0.02	3.18	100	12	4.23
310S	0.01	0.5	1.5	0.005-0.03	0.005-0.03	24-26	19-22	0.02	0.02	3.18	100	12	4.23



Defect detection



Image classification



Online, high speed (>10m/s), high temperature (>1000 °C) metal products' surface quality inspection

	# images	Defect type
OK	2475	
NOK	1411	315 Roll Mark
		887 Folds
		209 Cracks
TOTAL	3886	

2-class classification (OK vs. NOK)

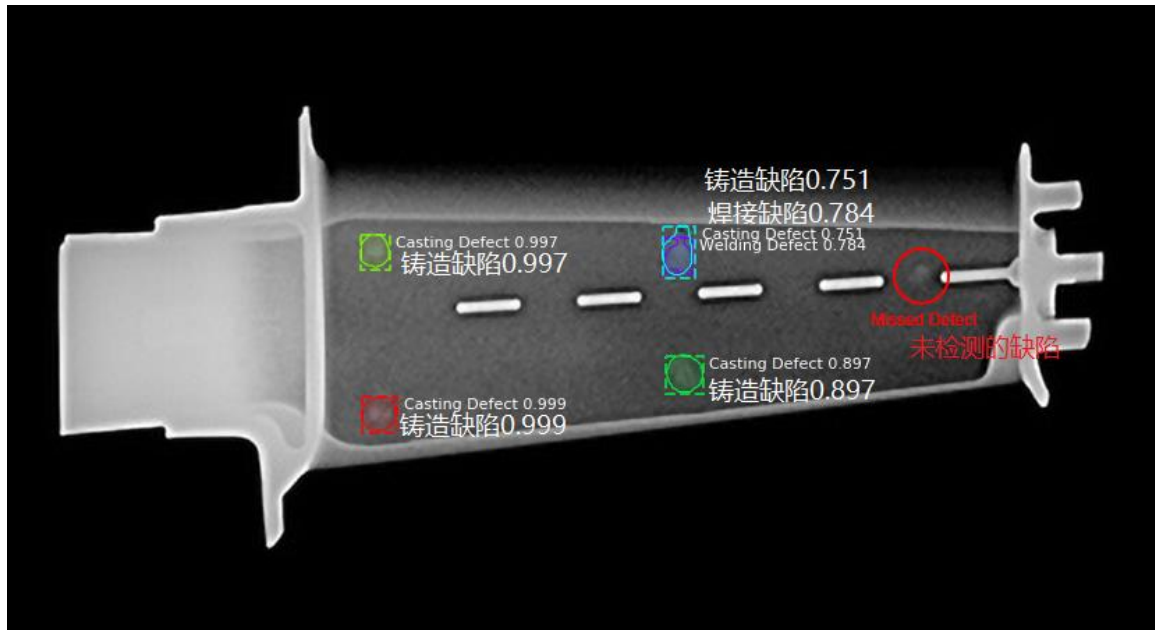
Feature extraction	Classifier	AUC
Commercial	Commercial (SVM)	0.88
LBP features	SVM	0.92
LBP features	Random Forests	0.95
CNN-SURFIN (feature extractor + classifier)		0.997

[1] <https://computervision.tecnalia.com/en/>

Defect detection



Object detection



Defect detection on an X-ray image of a jet turbine blade

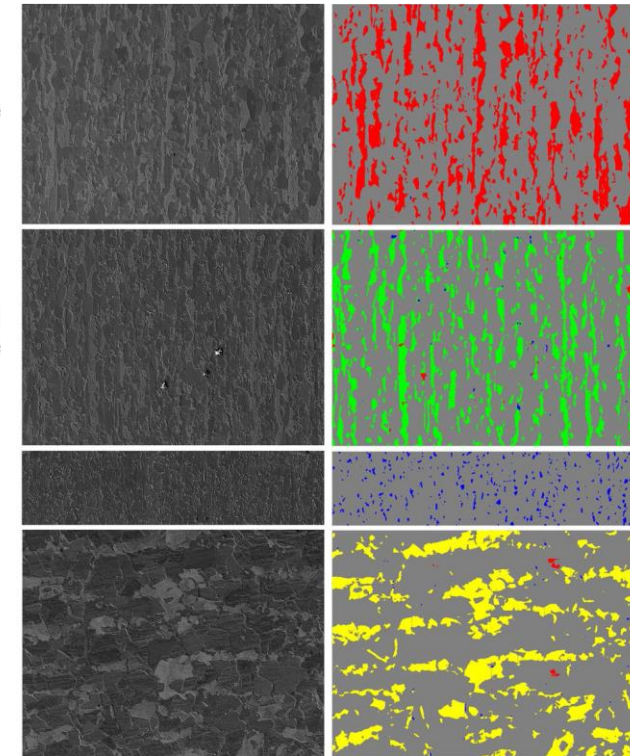
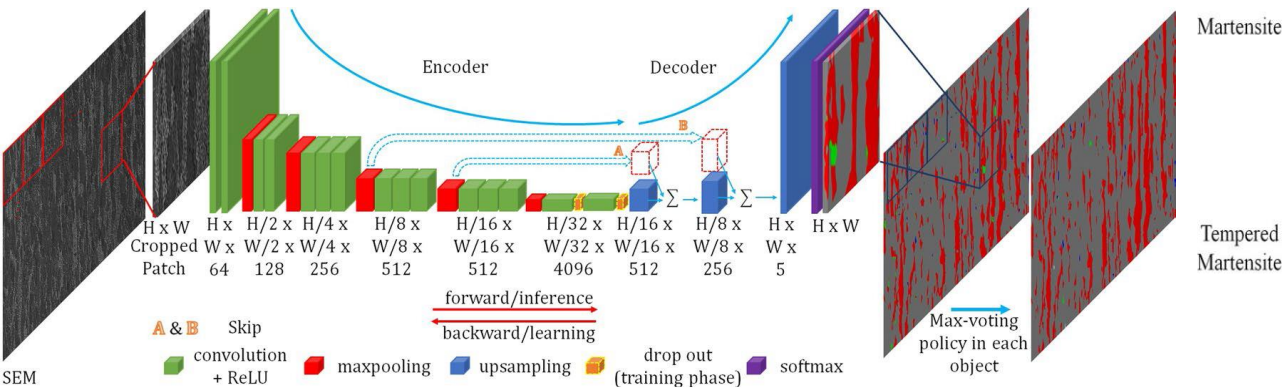
The training dataset did not contain any turbine blade images.

[1] FERGUSON M, AK R, LEE Y-T T, et al. 2018. Detection and Segmentation of Manufacturing Defects with Convolutional Neural Networks and Transfer Learning. arXiv preprint arXiv:1808.02518 [J].

Microstructure recognition



Image segmentation



Martensite: red
tempered martensite: green
Bainite: blue
Pearlite: yellow

[1] AZIMI S M, BRITZ D, ENGSTLER M, et al. 2018. Advanced Steel Microstructural Classification by Deep Learning Methods. Scientific reports [J], 8: 2128.

Challenges & Solutions



- 1 Small dataset - **Data augment**
- 2 Imbalanced data distribution – **Add weight to data**
- 3 Noise in data - **Regularization**
- 4 Poor interpretability of black box models - Combine **different models** together & **Visualization**
- 5 Lack proper descriptors - Exploit **deep learning**
- 6 poor accuracy - **pre-training**

Regularization



To improve generalization, add msw (mean square weight) to simple loss function $J(\theta)$ e.g. mse (mean square error)

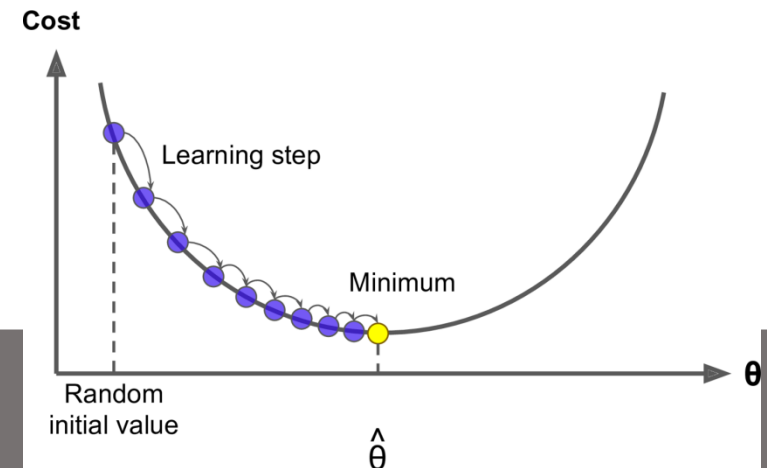
$$\text{mse} = \frac{1}{N} \sum_{i=1}^N (e_i)^2 = \frac{1}{N} \sum_{i=1}^N (t_i - a_i)^2$$

$$\text{msw} = \frac{1}{n} \sum_{j=1}^n W_j^2$$

$$J(\theta) = \text{msereg} = \gamma \text{mse} + (1 - \gamma) \text{msw}$$

$$\Theta^1 = \Theta^0 - \alpha \nabla J(\Theta) \quad \text{evaluated at } \Theta^0$$

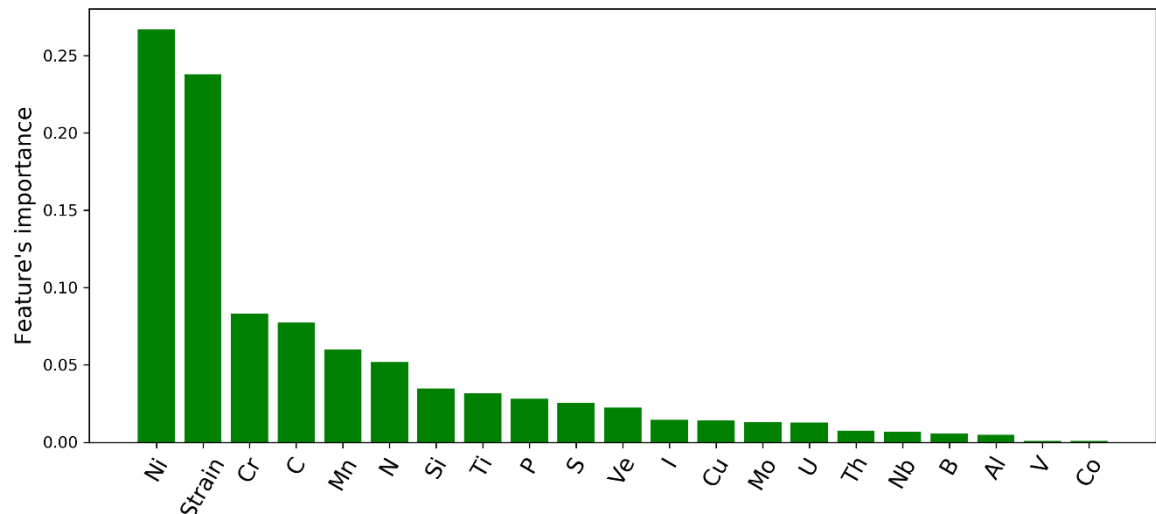
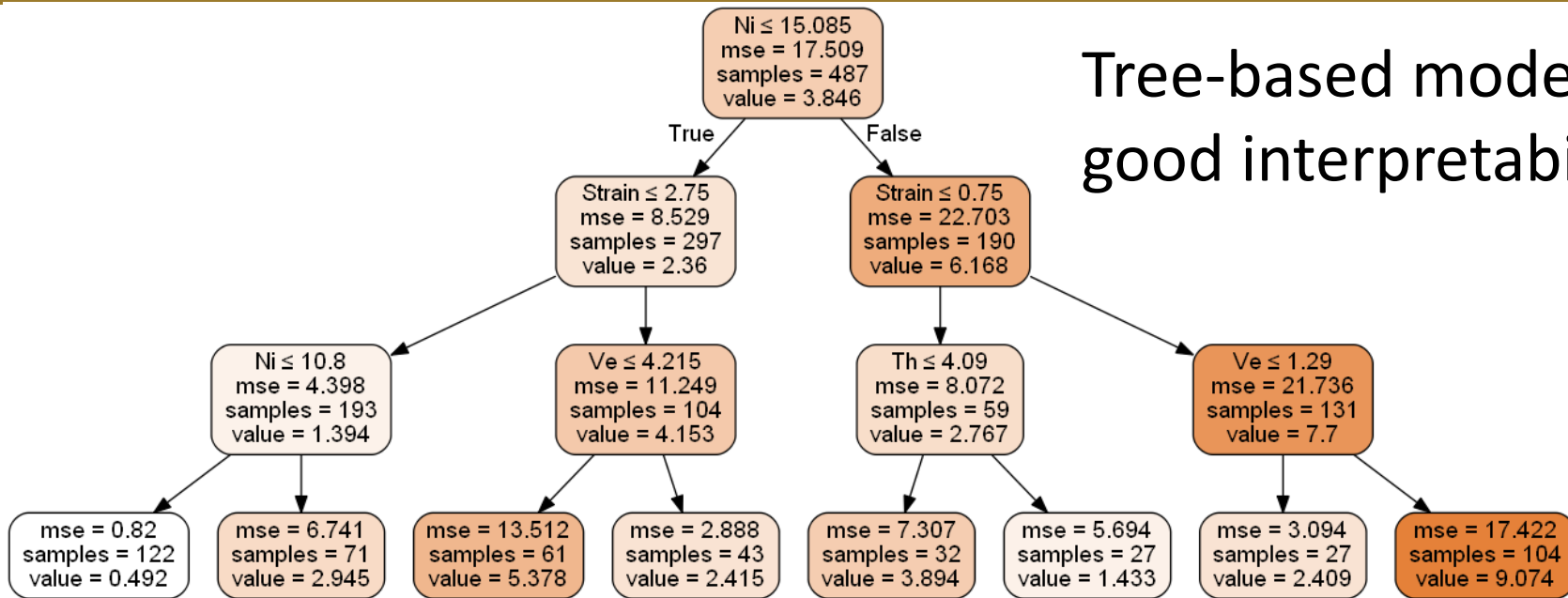
Backpropagation algorithm





Black box models ?

Tree-based models:
good interpretability



Exploit deep learning



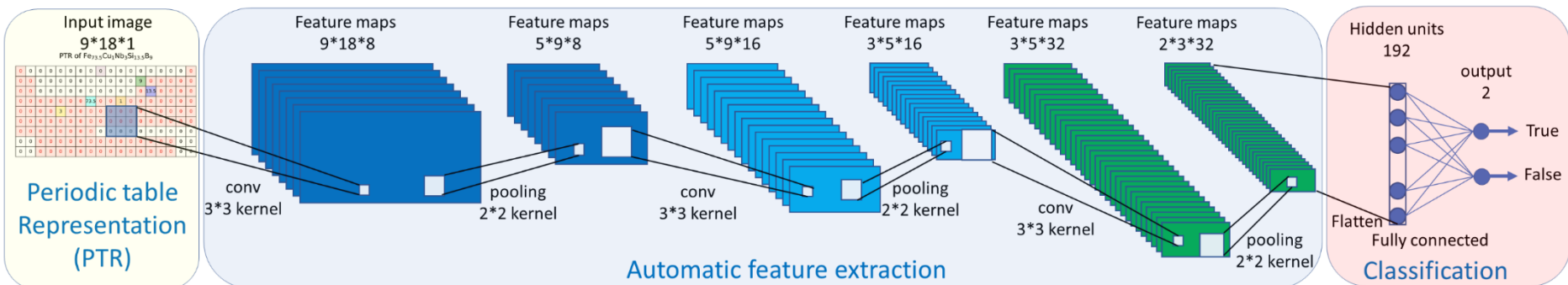
PTR (periodical table representation):

mapping each composition to the periodical table forming a 9*18 gray image

H																		He
Li	Be									B	C	N	O	F				Ne
Na	Mg									Al	Si	P	S	Cl			Ar	
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr	
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe	
Cs	Ba	Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn	
Fr	Ra	Lr	Rf	Db	Sg	Bh	Hs											
		La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb			
		Ac	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No			

H	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	He
Li	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	Ne
Na	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	Ar
K	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	Kr
Rb	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	Xe
Cs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	Rn
Fr	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Annotations: Fe_{73.5}, Nb₃, Cu₁, B₉, Si_{13.5}. Legend: 0: melt-spun, 100: copper mold casting.



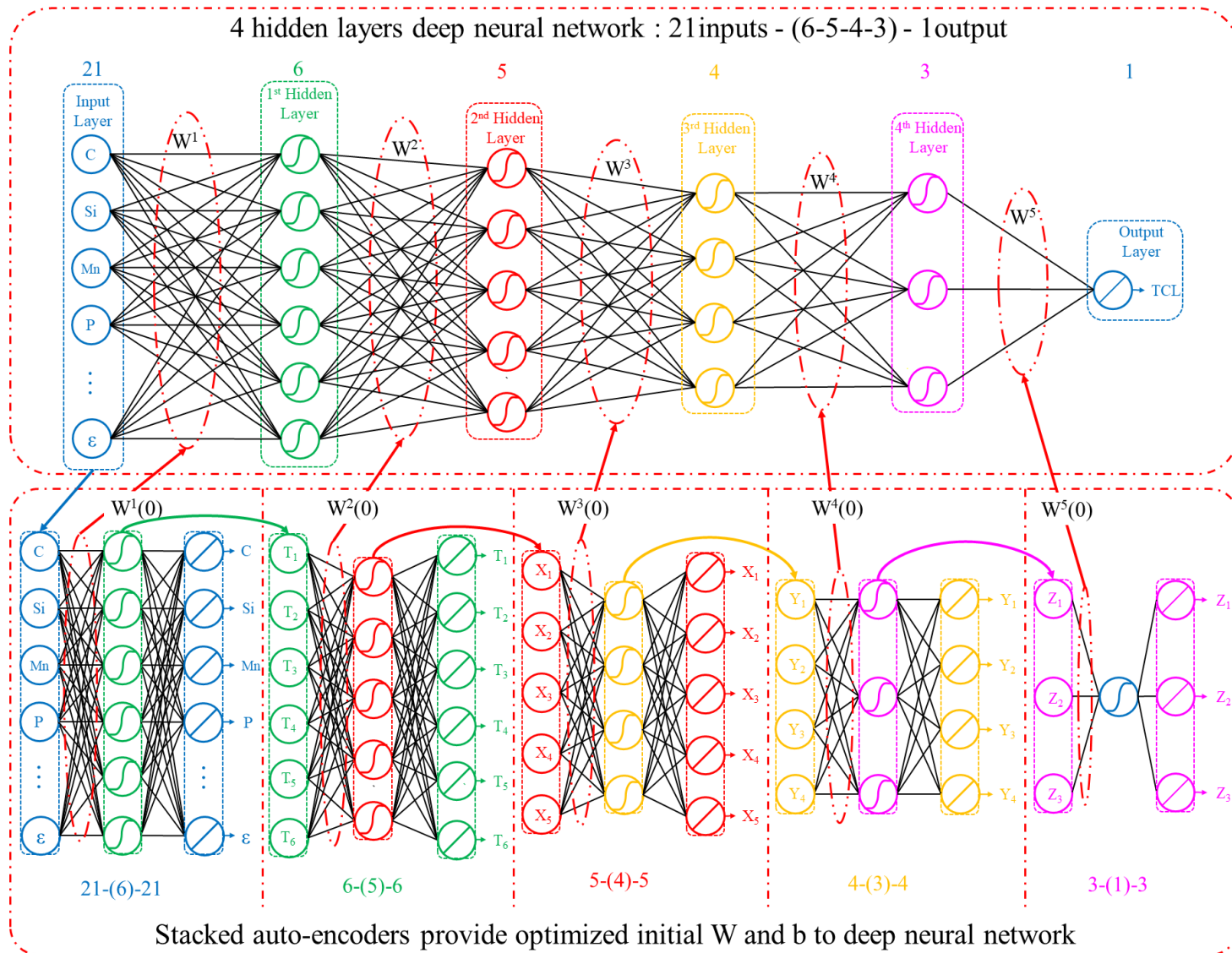
Only composition is needed to predict glass-forming ability

Input → 3*3/Conv/8 filter → max pooling → 3*3/Conv/16 filter → max pooling → 3*3/Conv/32 filter → max pooling → flatten → FC → **softmax**

CNN's average accuracy (10 cross validation): **96.7% (train)/95.8% (test)**



Pretraining to improve accuracy



Deep neural network initiation using stacked auto-encoders (i.e. pretraining)

Conclusions



- 1 AI & Machine learning:
a powerful universal tool for accelerating the development of materials;
an important supplement to theory and experiments.
- 2 Though there are many challenges when solve materials problems using machine learning , solutions exist.





Thank you!

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